

General Terms and Conditions (GTC) of the Swissterminal Group (as of 2024)

1. Scope of application, parts of the contract and ranking order

1.1. These General Terms and Conditions ("GTC") are a component of all domestic and international contractual relations with the Swissterminal Group, namely Swissterminal AG, Swissterminal Basel AG and Swissterminal Birsfelden AG (hereinafter together referred to as "**Swissterminal**").

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1.2. In addition to the GTC, the following guidelines and rules in the most current version, which are available for download at <https://www.swissterminal.com/downloads/>, shall form part of the contractual relationships for the services provided by Swissterminal:

- Tariffs for terminal services;
- Dangerous goods at Swissterminal sites;
- Safety regulations for semi-truck/lorry drivers;
- Terms of access and use, as well as slot and capacity allocation of Swissterminal's respective transshipment facilities;

Furthermore, the CTU packing guidelines in the currently valid version (IMO/ILO/UNECE Code of Practice for Packing of Cargo Transport Units ("CTU Code")) must be observed.

1.3. The GTC supplement and amend the General Terms and Conditions of Spedlogswiss (Association of Swiss Forwarding and Logistics Companies) and the General Terms and Conditions of Spedlogswiss for Warehousing (hereinafter "**GC Spedlogswiss**" and "**GC Spedlogswiss Warehouse**").

The contractual relationship between Swissterminal and the Principal is governed by the agreements of the parties in the following order of priority: (1.) the respective individual agreement; (2.) the GTC together with the guidelines and rules of Swissterminal pursuant to section 1.3, (3.) The GC Spedlogswiss and the GC Spedlogswiss Warehouse.

2. Conclusion of contract between Swissterminal and the Principal

2.1. Offers from Swissterminal are only binding when the Principal has confirmed the offer in writing.

2.2. Swissterminal may request advance payments or, in justified cases, security deposits at any time. In case of non-performance, Swissterminal reserves the right to suspend its fulfilment of the contract.

2.3. Regarding the handling of the following goods, the Principal is obliged to provide Swissterminal with the following information when placing the order:

2.3.1. For dangerous goods:

- Classification of the dangerous goods to be transported;
- UN number;
- Confirmation that the nature of the goods, the packages, the loading unit comply with the regulations (ADR, ADNR, RID, IMDG Code, etc.);
- Description of the goods in accordance with the dangerous goods regulations, as well as product name and technical designation;
- Number of packages and total weight;
- Written instructions for what to do in the event of accidents or incidents (accident leaflet);

- Name and address of the consignor and consignee of the goods;
- Special instructions for the carrier (e.g. route regulations);
- Proof of a valid and assignable Declaration for Dangerous Goods (DGD).

2.3.2. For waste:

- Type and origin of the waste, stating the European waste code number;
- Confirmation of compliance with the relevant legal standards
- Delivery of the required forms (notification form, etc.).

2.3.3. For temperature-controlled goods:

- Type and origin of the temperature-controlled goods;
- Specification of the specified cooling temperature with a difference range;
- If necessary, other information such as humidity, etc.

3. Insuring the transported goods

- 3.1. The Principal is obliged to take out appropriate insurance for the transported goods at his own expense. This insurance must at least cover losses that could be caused by the transported goods or third parties in connection with the transport.
- 3.2. The Principal shall bear any excess and any uninsured losses. Swissterminal shall bear no liability for losses resulting from insufficient insurance on the part of the Principal.
- 3.3. The Principal must provide Swissterminal, upon request, with proof of the existing insurance, including the insurance terms and coverage amounts. The Principal undertakes to promptly inform Swissterminal of any changes to or termination of the insurance.
- 3.4. Swissterminal has the right to terminate the contract without notice in the event of a breach of these provisions, regardless of compensation.

4. Transshipment of loading units

A. Transshipment order

- 4.1. On a per-shipment basis, the Principal undertakes to deliver to Swissterminal a duly completed international CIM consignment note, or to provide all details necessary for its correct preparation, promptly before execution of the transport.

B. Terminal Rules for Deliveries and Pickups (Semi-truck/Lorry/Ship/Rail)

- 4.2. For the delivery and collection of loading units at the terminals, a slot must be booked by all modes of transport (semi-truck/lorry/ship/rail) via the respective booking platforms. Details are regulated in the terminal rules of Swissterminal.
- 4.3. For truck slots that are not used or are rescheduled too late, the Principal shall owe a no-show fee (per event) as specified in the Terminal Rules.

C. Wagons, loading units and loading tools

- 4.4. Swissterminal shall provide suitable freight wagons (hereinafter "wagons"), loading units (including but not limited to containers, trailers, semi-trailers and the like (hereinafter referred to as "loading units") as well as loading tools for transporting the Principal's shipment, provided these are available and not provided by the Principal himself. If a type of wagon requested by the Principal is unavailable, Swissterminal may, at its own discretion, supply a similar type of wagon.
- 4.5. Swissterminal shall charge a fee for wagons or loading units ordered but not used by the Principal.

D. Requirements for the condition of loading units of the Principal

- 4.6. The Principal is responsible for the proper condition of the loaded and/or empty containers, trailers, semi-trailers and the like (hereinafter referred to as "**loading units**") handed over to Swissterminal. The Principal must ensure at his own expense that the type and condition of the loading units, the intended loading/use as well as the applicable statutory provisions and technical regulations, in particular the international regulations of the International Convention for Safe Containers (CSC), comply with and are safe to operate and traffic at the time of acceptance by Swissterminal.
- 4.7. If the loading units provided by the Principal are defective or unsuitable for the transport and handling of the goods, they may be immediately rejected by Swissterminal or excluded from further transport without prejudice to the contractually agreed remuneration.

E. Loading, unloading and packaging of loading units

- 4.8. The Principal is obliged to load the loading units in accordance with the CTU packing guidelines and to hand over the loading units loaded and stowed in this way at the loading/transshipment location in accordance with instructions from Swissterminal and to ensure that safe and smooth transport and transshipment by Swissterminal is possible.
- 4.9. The Principal is obliged to ensure that the relevant legal provisions and guidelines concerning labelling (in particular hazardous goods signage), packaging, stowage and securing of the contents of the loading unit are observed for all means of transport suitable for transshipment and transport of the loading unit. In particular, the Principal must ensure that the loading units are properly closed and, in the case of loaded loading units, are also properly sealed and handed over in accordance with customs law and accepted from the place of discharge/receiving in the order determined by Swissterminal.
- 4.10. Swissterminal is not obliged, but entitled, to inspect the packaging and stowage of the loaded goods and the load securing on acceptance of the loading unit.
- 4.11. If there is reason to believe that the operational safety of the load is not guaranteed, Swissterminal shall be entitled to take the necessary measures. This is in particular the case if there is a considerable discrepancy between the agreed load and the actual load, the permissible total weight or the centre of gravity is exceeded or the transport is hindered by the type of goods or loading. Swissterminal is entitled to charge the Principal for the costs of better loading or delays in transport and to claim damages.
- 4.12. If there is reason to believe that goods handed over to Swissterminal for transshipment, transport or storage pose a risk to persons, other goods or the surrounding area, Swissterminal shall be entitled to unload and remove these goods at any time and place without liability for damages and at the expense of the Principal, without prejudice to the contractually agreed claim of Swissterminal against the Principal for transshipment, transport or storage.
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- 4.13. Swissterminal accepts no liability for waiting times for which Swissterminal is not responsible. Any demurrage and other damage incurred during any waiting period will be charged to the Principal. Waiting times are all times which exceed the individually agreed free loading or unloading time at a terminal or a pick-up/delivery. Should a waiting period exceed the agreed period for reasons for which Swissterminal is not responsible, Swissterminal expressly reserves the right to unload, load or tranship the affected loading units at another location or loading point at the expense of the Principal.
- 4.14. Contracts for the carriage of goods are terminated upon delivery of the goods at the agreed delivery point and upon acceptance by the consignee. If the goods are not taken over by the consignee in due time or properly cleared through customs (e.g. regarding the T1 document), the Principal is obliged to

assume any additional costs (e.g. detention or demurrage charges, customs fines, storage fees at the respective transshipment terminal, etc.) and to indemnify Swissterminal in this respect.

- 4.15. If the Principal causes a material delay, he must pay a contractual penalty. A material delay occurs where the agreed services of Swissterminal cannot be provided more than 24 hours after the contractually agreed time because of delayed or incomplete instructions, documents, or other information from the Principal. The contractual penalty shall amount to 0.5% of the agreed compensation of the relevant service per completed calendar day of the delay, but no more than 20% of the total agreed compensation. The contractual penalty is cumulative and does not exclude other compensation claims by Swissterminal for the delay.

F. Handling of dangerous goods

- 4.16. If the Principal does not deliver or collect the loading unit with dangerous goods at the terminal on the day of transport or within the "24-hour rule" or fails to instruct Swissterminal to store this loading unit in a suitable dangerous goods warehouse, Swissterminal can:
- store the dangerous goods in a dangerous goods warehouse at the expense of the Principal; unload, return or, if necessary, destroy or render harmless dangerous goods, without therefore being liable to pay compensation to the consignor, and
 - demand reimbursement of the necessary expenses from the Principal due to these measures.

5. Rental of loading units by Swissterminal

- 5.1. Swissterminal undertakes to make load units suitable for use (in particular containers), which comply with the international regulations of the International Convention for Safe Containers (CSC), available for rent to the Principal.
- 5.2. The Principal undertakes to unload the rented containers at the end of the rental period and to return them in proper condition (clean, free of defects) and roadworthy at the agreed time and place. If Swissterminal ascertains upon return of the loading units that they are not in proper and roadworthy condition, the necessary defect rectification work shall be carried out by Swissterminal. In this case the Principal is obliged to fully indemnify Swissterminal and in particular to bear the costs and damages incurred during this period, such as loss of rental income. Swissterminal is entitled to demand a reasonable security deposit from the Principal when renting out loading units.

6. Reefer services through Swissterminal

- 6.1. If the Principal makes use of Swissterminal's reefer services, he undertakes to inform Swissterminal of the temperatures to be maintained in °C (degrees Celsius) or °F (degrees Fahrenheit) as well as details of the humidity to be maintained etc. Swissterminal shall not be liable for any defects and damage due to incorrect, inaccurate or contradictory temperature data.

7. Further services of Swissterminal, in particular customs and transit clearance

- 7.1. Swissterminal shall provide further services, in particular those in the customs area (such as in particular import/export clearance, transit clearance, preparation of customs documents, etc.).
- 7.2. If the consignee of the goods does not accept the properly declared goods or does not unload them properly at the place of destination (e.g. with regard to a T1 document), the Principal is obliged to pay

the costs caused by the consignee's conduct (in particular for subsequent customs clearance, new assessment, return transport).

8. Warranty for maintenance and repair and purchase of loading units

- 8.1. After delivery of the serviced, repaired or purchased loading unit, the Principal must inspect it immediately and give written notice of any obvious defects immediately, i.e. within two working days at the latest. Defects which cannot be detected by careful inspection (hidden defects) must be reported in writing immediately, i.e. within two working days of discovery at the latest. In the event of a late or invalid complaint, the defect rights shall be forfeited.
- 8.2. In the case of existing defects, the Principal shall be entitled to rectification free of charge, unless the cost of rectification is no longer objective in relation to the value of the goods delivered/services rendered and is therefore considered unreasonable. The right to conversion and reduction is excluded. In all other respects, the limitations of liability specified in these GTC shall apply.
- 8.3. Swissterminal does not assume any warranty for used loading units (used containers) sold by Swissterminal to the extent permitted by law. All warranty rights of the buyer (Principal) are excluded.
- 8.4. The Principal's claims based on obvious or hidden defects shall lapse within one year of delivery of the loading unit.

9. Entity of charge of maintenance ("ECM")

- 9.1. Pursuant to Appendix G (ATMF) to the Convention concerning International Carriage by Rail (COTIF), every wagon must be assigned to an entity in charge of maintenance (ECM). Furthermore, this ECM must be certified. The Principal is obliged to ensure that the wagons provided by him are assigned to an ECM. He must also provide the corresponding proof upon request by Swissterminal.
- 9.2. If the Principal provides a wagon that is not assigned to an ECM, Swissterminal may exclude this wagon from the transport and bill the Principal for the associated costs.

10. Termination

- 10.1. Swissterminal is entitled to terminate the contract with the Principal in writing at any time, subject to a notice period of 30 days, without the need for a reason.
- 10.2. For good cause, Swissterminal may terminate the contract without observing a notice period. Good cause shall exist in cases including, but not limited to, the following:
 - The Principal breaches material contractual obligations, particularly by failing to fulfil his payment obligation despite a reminder.
 - Insolvency proceedings are instituted regarding the assets of the Principal or the institution of such proceedings is denied for lack of assets.
 - The Principal has made false statements concerning his creditworthiness or other material contractual issues.
 - The Principal infringes statutory provisions or other official requirements relevant to the performance of the contract.
- 10.3. In the event of termination, the Principal must pay all outstanding amounts to Swissterminal immediately. Swissterminal is entitled to suspend its performance of any additional services until such time as all outstanding payments have been made in full.

10.4. Upon termination of the contract, the Principal must immediately return all loading units provided by Swissterminal in proper condition. In the event of late or inadequate return, the Principal shall bear the resulting costs.

11. Liability of the Principal

11.1. The Principal shall be fully liable for all damages culpably caused by him and his auxiliary persons, in particular also for indirect damages such as loss of business and loss of profit. In addition to Art. 18 GC Spedlogswiss and Art. 27 GC Spedlogswiss Warehouse, the Principal is liable in particular for damage caused:

- by any defect in a wagon supplied by the Principal;
- the loading of an unsuitable or defective loading unit, the lack of operational or traffic safety of the loading unit, inadequate or improper packaging or other irregularities (e.g. inadequate labelling, missing documents, inadequate customs formalities, etc.);
- the nature of the goods loaded;
- by the loading, stowing, handling and unloading of the loading units by the Principal or a third party commissioned by him or otherwise connected;
- the removal or unloading of dangerous goods.

12. Liability of Swissterminal and Disclaimer of Liability

12.1. The liability of Swissterminal for itself and its auxiliary persons such as employees, vicarious agents, subcontractors etc. for slight and medium negligence is excluded in all cases to the extent legally permissible. This exclusion of liability applies to all direct and indirect damages, including any consequential damages, consequential harm caused by a defect, loss of profit, damages due to business interruption, etc. and it applies to contractual as well as non-contractual and quasi-contractual liability.

12.2. Otherwise the liability principles of the GC Spedlogswiss (and Art. 23 et seq. GC Spedlogswiss Warehouse) apply, in particular with regard to the limitation of liability.

12.3. Swissterminal is not liable for any loss, damage or other damage (including demurrage/detention and consequential damage) that may have been incurred:

- on loaded goods in sealed loading units;
- by discrepancies in the area of registration, insofar as these are not attributable to gross negligence on the part of Swissterminal;
- by force majeure (unforeseeable circumstances), in particular hazard, third-party fault, mobilisation, military exercises and undertakings, war, sabotage, riots, insurrection, civil unrest, hostage taking, terrorism, strike (including slowdowns and warning strikes as well as all other labour disturbances), lockout, blockades, requisition, confiscation of the means of transport or the goods, quarantine restrictions, official or governmental measures and interventions of any kind;
- natural and elemental hazards, in particular high and low water, floods, storms, ice, frost, thunderstorms, rain, hail, snow, sun, heat, cold, temperature differences as well as water and fire;
- by breakage, average or other failure of the loading, unloading and lifting equipment (including crane lifts) of any type of Swissterminal and third parties, breakage or defect of the loading units and resulting collision of the lifting equipment or other damage caused by the handling equipment, careless and/or incorrect handling during loading, stowing, transshipment or unloading, unless gross negligence on the part of Swissterminal or its auxiliary persons can be proven;

- by taking over already damaged loading units, even if Swissterminal did not carry out a corresponding reverse at the time of taking over;
- by events or occurrences which could not have been foreseen by Swissterminal or the occurrence or effects of which could not have been avoided with all due care.
- through delays caused by third parties or agents.

12.4. In the event of a case under lit. c)-e) above, Swissterminal shall inform the Principal in writing as soon as possible and take all reasonable measures to end this situation as quickly as possible and to limit the consequences thereof. Any additional costs incurred shall be borne solely by the Principal.

12.5. In all cases, a claim can only be made against Swissterminal for damage after acceptance of the loading units by the Principal if the discovery of externally recognisable damage is notified in writing immediately upon acceptance, in the case of hidden defects within seven (7) days of acceptance. Failure to comply with the complaint deadlines shall result in a complete exclusion of liability on the part of Swissterminal. However, the formally valid and timely complaint does not release the Principal from the obligation to prove at least gross negligence on the part of Swissterminal.

13. Prices

13.1. Unless otherwise agreed, prices are in CHF, excluding VAT and other taxes and duties.

13.2. Price specifications of the Principal are only binding for Swissterminal if they have been expressly confirmed in writing by Swissterminal.

13.3. The prices agreed between Swissterminal and the Principal only cover the normal transport, handling, material and processing costs ("**normal prices**"). Swissterminal expressly reserves the right to deviate from the agreed normal prices by +/- 10% and is accepted by the Principal.

13.4. If cost increases occur after the conclusion of a respective contract, in particular due to rising energy costs, tariff agreements, changes in material prices, insurance premiums, freight, demurrage, port or transshipment tariffs, Swissterminal expressly reserves the right to make appropriate corrections to the previously agreed normal prices, which may have been adjusted in accordance with clause 11.3 above. At the express request of the Principal, Swissterminal will provide evidence of the respective changes in costs.

13.5. Prices for repair, maintenance and assembly work shall be calculated in such a way that any scrap material (in particular stainless steel, aluminium, copper, lead, zinc, tin, etc.) shall become the property of Swissterminal without remuneration.

14. Invoicing and terms of payment

14.1. Invoices issued by Swissterminal shall be payable immediately by the due date (30 days from date of invoice; due date) and without deduction (discount). After the due date, Swissterminal shall be entitled, without reminder, to claim default interest of 5% p.a.

14.2. Offsetting against claims of Swissterminal against counterclaims of the Principal is excluded.

14.3. If after conclusion of the contract a circumstance occurs which endangers the solvency of the Principal (in particular insolvency, moratorium, bankruptcy, cessation of activities, transfer of activities to third parties, etc.), Swissterminal can demand an appropriate security deposit or advance payment from the Principal.

14.4. Any complaints about invoices must be made in writing (by e-mail, fax or letter) to Swissterminal. The complaint must be substantiated. It does not result in a cancellation or postponement of the due date.

15. Electronic data transmission and processing / written form

15.1. Insofar as written form is prescribed in the GC Spedlogswiss and GC Spedlogswiss Warehouse as well as in these General Terms and Conditions between Swissterminal and the Principal, the transmission of defined data records within the scope of electronic data processing meets the written form requirement (e.g. by e-mail). However, data transmission protocols in electronic data interchange only confirm the transmission of data, but not its specific content.

15.2. The performance and binding nature of an electronic exchange of contractual and performance data shall be agreed separately with the Principal in each case.

15.3. Swissterminal is entitled to store the data required for the execution of the order and to pass them on to the necessary parties for the purpose of fulfilling any administrative and customs procedures. Details on data processing by Swissterminal are strictly governed in the data protection declaration.

16. Other provisions

16.1. Swissterminal is entitled to call in third parties as auxiliary persons (vicarious agents) to perform its services, specifically for providing all terminal services and customs services.

16.2. Swissterminal is entitled to store and share all data necessary for performing the contract. The Principal expressly consents to the storage and sharing of his data for this purpose.

16.3. Should individual provisions of these GTC be or become invalid or unenforceable, the validity of the remaining provisions shall not be affected thereby. Such invalid or unenforceable provisions shall be replaced by such valid and enforceable provisions which correspond as closely as possible to the concurrent intention of Swissterminal and the Principal.

16.4. Amendments and supplements to these GTC must be made in writing.

16.5. The contractual relationship between Swissterminal and the Principal shall be governed by Swiss law, to the exclusion of the UN Convention on Contracts for the International Sale of Goods of 11 April 1980 (Vienna Convention; CISG).

16.6. The place of jurisdiction for any disputes arising from or in connection with the contract between Swissterminal and the Principal is **Zurich** Swissterminal shall also be entitled to assert its claims against the Principal in court at the Principal's place of business.